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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

5

Application Number

09/992,612

Filing Date

November 13, 2001

First Named Inventor

James D. RALPH

Group Art Unit

3731

Examiner Name

To Be Assigned

Attorney Docket No.

337562000901

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below):

- Request for a Corrected Filing Receipt
- 2 pages
- Copy of marked-up Filing Receipt -
2 pages
- Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

or

Individual Name

Rimas T. Lukas, Reg. No. 46,451

Signature

Rimas T. Lukas

Date

April 29, 2002

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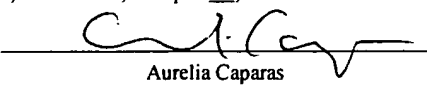


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PATENT
Docket No. 337562000901

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Aurelia Caparas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

James D. RALPH and Stephen TATAR

Serial No.: 09/992,612

Filing Date: November 13, 2001

For: POLYAXIAL PEDICLE SCREW HAVING A
THREADED AND TAPERED
COMPRESSION LOCKING MECHANISM

Examiner: To Be Assigned

Group Art Unit: 3731

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

1. In the Filing Fee Received field, please replace "523" with --370--
2. In the Total Claims field, please replace "23" with --12--
3. In the Independent Claims field, please replace "5" with --3--.

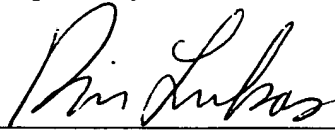
A copy of the marked-up Filing Receipt is attached.

Applicant believes no fee is required. However, in the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing attorney docket No. 337562000901. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 29 2002

Respectfully submitted,

By:



Rimas T. Lukas
Registration No. 46,451

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/992,612	11/13/2001	3731	523 370	337562000901	9	28 12	5 3

CONFIRMATION NO. 1151

25226
MORRISON & FOERSTER LLP
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PALO ALTO, CA 94304-1018

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MAR 04 2002

Morrison & Foerster, LLP
Palo Alto

FILING RECEIPT



OC000000007550386

Date Mailed: 02/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

James D. Ralph, Oakland, NJ;
Stephen Tatar, Montvale, NJ;

COPY

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/774,915 01/30/2001
WHICH IS A REI OF 09/002,535 01/02/1998 PAT 5,882,350
WHICH IS A CIP OF 08/663,383 06/13/1996 PAT 5,669,911
WHICH IS A CIP OF 08/421,087 04/13/1995 PAT 5,520,690

Foreign Applications

If Required, Foreign Filing License Granted 02/27/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

DOCKETED

(TA) *Sery*

Title

Polyaxial pedicle screw having a threaded and tapered compression locking mechanism

Preliminary Class

606

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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